

Date: April 10, 2001

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Form PTO-1449 (REV. 8-83) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. TRD-001XX	APPLICATION NO. 09/587,619		
INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				APPLICANT: James P. Coppola III			
				FILING DATE: 06/05/2000	GROUP: 2761		
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*	
	6,125,355	09/26/2000	Bekaert et al.	705	36		
	6,012,044	01/04/2000	Maggioncalda et al.	705	36		
	5,918,217	06/29/99	Maggioncalda et al.	705	36		
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)							
	<i>Are Investors Reluctant To Realize Their Losses?</i> , Terrance Odean, University of California Davis Graduate School of Management, July 1998.						
	<i>Tip-offs from top traders</i> , Ginger Szala et al., <u>Futures</u> , "Managed Money", July 1994, pp. 54 & 56.						
	<i>Determining Optimal Risk</i> , Ed Seykota et al., <u>Technical Analysis of Stocks & Commodities</u> , "Money Management", 1993.						
	<i>Of Dubious Origin, But Valuable</i> , Ed Seykota, <u>Technical Securities Analysts Association of San Francisco Newsletter</u> , January-March 1994, p. 2.						
	<i>The Elements of Successful Trading</i> , Van K. Tharp, Ph.D., <u>Technical Securities Analysts Association of San Francisco Newsletter</u> , January-March 1994, p. 2.						
	<i>Balancing Act</i> , Mike DeAmicis-Roberts, <u>Futures</u> , "Trading Techniques", September 1995, pp. 46-47.						
	<i>Fine tuning money management with f</i> , Murray A. Ruggiero Jr., <u>Futures</u> , "Technology and Trading", September 1995, pp. 48-50.						
	<i>Sheldon Knight: Patience as a Virtue</i> , Mark Etzkorn, <u>www.futuresmag.com</u> , "Trader Profile", April 1998.						
	<i>A "Scenario Planning" Approach To Optimal Money Management</i> , Ralph Vince, <u>MTA Journal</u> , Summer 1993, pp. 14-21.						
	<i>Value at Risk and Technical Analysis</i> , Luis Ballesca, <u>Technical Analysis of Stocks & Commodities</u> , "Novice Trader", August 1999, pp. 40 & 43.						
EXAMINER			DATE CONSIDERED				
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							